

Banking Industry - New Insights on Anti-Money Laundering

Programme Code: HGCP00P25061

3 June 2025 (Tuesday)

12:00nn – 2:00pm

2 HOURS
HKIB ECF SFC
CPD CPD CPT

Programme Outline

Session 1

Extension of job scope to Prevention of Financial Crimes

- FATF: History and Background
- Back to Basics: Definition of Money Laundering and Its Processes
- Relevant Laws and Regulations in Hong Kong
- FATF Annual Report, HKAML Report, China AML Report

Session 2

- Key Enablers in AML from a Retail Banking Business Model Perspective
- Strategies: Future Directions, Aligning with Local Regulators and International Practices
- Governance Structure and Responsibilities
- Applicable Processes and Controls: From Product End-to-End Perspectives Through Customer Journey
- Lessons Learned from Selected Cases and Areas for Improvement

Speaker Introduction

The speaker, formerly the Deputy Group Head of Personal and Commercial Banking at a major commercial Hong Kong bank, boasts over 35 years in banking. His expertise spans retail banking, fintech, operational risk management, anti-money laundering, counter-terrorist financing and internal auditing. He was an Executive Director on the Executive Committee for China Retail Banking, he has overseen internal audits and managed business operations across 11 countries in the Asia-Pacific region.

The speaker has comprehensive experience in sales, operations, and risk management. He holds a Master Degree of Business Administration (MBA) from the University of Leicester and is a Certified Operational Risk Professional (CORP), a Certified Anti-Money Laundering Specialist (CAMS), and Certified Internal Auditor (CIA, 2000-2016)

Target Audience

1. Banking Practitioners
2. Compliance Officers, Risk Managers, Retail Banking Managers
3. Financial Industry Professionals
4. HKIB Professional Qualification holders :



Programme Delivery

Virtual Classroom (Zoom)
Cantonese

Application DEADLINE

27 May 2025 (Tuesday)



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